

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No. 10/798,688
3 Filing Date 3/11/2004
4 Confirmation No. 6286
5 Inventorship James M. Alkove
6 Applicant Microsoft Corporation
Group Art Unit 2135
7 Examiner
8 Attorney's Docket No. MS1-2008US
9 Title: Methods and Systems For Protecting Media Content


10 **INFORMATION DISCLOSURE STATEMENT AND**
11 **CERTIFICATIONS UNDER 37 CFR 1.97(e)(1) AND 1.704(d)**

12 The listed citations were cited in a Communication from a foreign patent
13 office in a counterpart foreign application, and are being submitted in compliance
14 with the duty of disclosure defined in 37 CFR §1.56. Copies of the citations and
15 the Communication are attached. The Examiner is requested to make these
16 citations of official record in this application.

17 I hereby certify that each item of information contained in the information
18 disclosure statement was first cited in any communication from a foreign patent
19 office in a counterpart foreign application not more than three months prior to the
20 filing of the information disclosure statement.

21 Furthermore, each item of information contained in the information
22 disclosure statement was first cited in any communication from a foreign patent
23 office in a counterpart application and this communication was not received by any
24 individual designated in §1.56(c) more than thirty days prior to the filing of the
25 information disclosure statement.

26 Date: 1/27/06

Respectfully Submitted,
By: 
Lance R. Sadler
Reg. No. 38605



European Patent
Office

PARTIAL EUROPEAN SEARCH REPORT

Application Number

under Rule 46, paragraph 1 of the European Patent Convention EP 05 10 1873

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
(1) Y ✓	WO 01/52020 A (MICROSOFT CORPORATION) 19 July 2001 (2001-07-19) * page 3, line 14 - line 16 * * page 12, line 15 - line 23 * * page 21, line 17 - line 21 * * page 24, line 16 - line 18 * * page 40, line 12 - line 15 * * page 59, line 28 - page 60, line 2 * * page 60, line 15 - page 61, line 29 * * page 62, line 22 - line 29 * * page 63, line 25 - page 64, line 6 * * page 66, line 13 - line 15 * * page 68, line 10 - page 69, line 2 * * page 70, line 20 - line 26 * * figures 13,15 *	1-16, 43-46	G06F1/00
(2) Y ✓	PRUNEDA A: "Windows Media Technologies: Using Windows Media Rights Manager to Protect and Distribute Digital Media" WINDOWS MEDIA TECHNOLOGIES: USING WINDOWS MEDIA RIGHTS MANAGER TO PROTECT AND DISTRIBUTE DIGITAL MEDIA, December 2001 (2001-12), XP002291716 * page 2 *	1-16, 43-46	TECHNICAL FIELDS SEARCHED (Int.Cl.7) G06F
LACK OF UNITY OF INVENTION			
The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely: see sheet B			
The present partial European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims.			
Place of search The Hague		Date of completion of the search 29 August 2005	Examiner Fantini, F
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

EPO FORM 1503 03.02 (P04C57)



DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
③ A ✓	EP 1 376 302 A (MICROSOFT CORPORATION) 2 January 2004 (2004-01-02) * column 3, line 51 - line 53 * * column 5, line 38 - line 45 * * column 7, line 55 - column 8, line 7 * * column 8, line 46 - line 48 * * column 9, line 27 - line 30 * * column 9, line 38 - line 44 * * column 10, line 20 - line 33 * * column 10, line 55 - line 58 * * column 11, line 44 - line 46 * * column 12, line 12 - line 14 * * column 12, line 35 - line 37 * * column 15, line 32 - line 49 * * column 16, line 51 - column 17, line 22 * * figures 3,5 *	1-16, 43-46	
④ A ✓	MICROSOFT: "Digital Rights Management for Audio Drivers" MICROSOFT WHDC, 'Online! 4 December 2001 (2001-12-04), XP002342580 Retrieved from the Internet: URL: http://www.microsoft.com/whdc/archive/DRM.msp > 'retrieved on 2005-08-29! * the whole document *	1-16, 43-46	TECHNICAL FIELDS SEARCHED (Int.Cl.7)
⑤ A ✓	MICROSOFT: "Hardware Platform for the Next-Generation Secure Computing Base" WINDOWS PLATFORM DESIGN NOTES, 'Online! 2003, XP002342581 Retrieved from the Internet: URL: http://www.microsoft.com/resources/ngscb/documents/NGSCBhardware.doc > 'retrieved on 2005-08-29! * the whole document *	1-16, 43-46	
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European Patent
Office

PARTIAL EUROPEAN SEARCH REPORT

Application Number
EP 05 10 1873

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
(6) A ✓	MICROSOFT: "Security Model for the Next-Generation Secure Computing Base" WINDOWS PLATFORM DESIGN NOTES, 'Online! 2003, XP002342582 Retrieved from the Internet: URL: http://www.microsoft.com/resources/ngscb/documents/NGSCB_Security_Model.doc 'retrieved on 2005-08-29! * the whole document * -----	1-16, 43-46	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)

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EPO FORM 1503 03.02 (P04C10)



European Patent
Office

**LACK OF UNITY OF INVENTION
SHEET B**

Application Number
EP 05 10 1873

The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

1. claims: 1-16, 43-46

Instruct a rendering driver/module to enable one or more content protection technologies to protect media content sent over a physical connector to a device.

2. claims: 17-35

Authentication and integrity protection of command messages exchanged between a media playback application and a rendering driver/module.

3. claims: 36-42

Managing content protection types or levels in a device driver using a global reference count for each type and each level.

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 05 10 1873

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

29-08-2005

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0152020	A	19-07-2001	AU 6923200 A	24-07-2001
			AU 6927800 A	24-07-2001
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			WO 0152018 A1	19-07-2001
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			ZA 200303975 A	25-03-2004

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/798,688
Filing Date	3/11/2004
First Named Inventor	James M. Alkove
Art Unit	2135
Examiner Name	
Attorney Docket Number	MS1 2008US

Sheet	1
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of

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Attorney Docket Number

MS1	2008US
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP1376302	1/2/2004	MICROSOFT CORP (US)		
		WO0152020	7/19/2001	MICROSOFT CORP (US)		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number		10/798,688	
		Filing Date		3/11/2004	
		First Named Inventor		James M. Alkove	
		Art Unit		2135	
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	MS1 2008US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Microsoft: "Digital Rights Management for Audio Drivers" Microsoft WHDC, 'Online! 4 December 2001, XP002342580, Retrived from the internet: URL: http://www.microsoft.com/whdc/archive/DRM.mspx > "the whole document"	
		Microsoft: "Hardware Platform for the Next-Generation Secure Computing Base" WINDOW PLATFORM DESIGN NOTES, 'Online! 4 December 2001, Retrived from the Internet: URL: http://www.microsoft.com/whdc/archive/DRM.mspx > "the whole document"	
		MICROSOFT: "Security Model for the Next-Generation Secure Computing Base" WINDOWS PLATFORM DESIGN NOTES, 'Online! 2003, XP002342582, Retrived from the Internet: URL: http://www.microsoft.com/resources/ngscb/documents/NGSCB_Security_Model.doc > "the whole document"	
		Pruneda, A., "Windows Media Technologies: Using Windows Media Rights Manager to Protect and Distribute Digital Media," December 2001, XP002291716, pp 1-12.	

Examiner Signature		Date Considered	
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